



REGISTERED INVESTMENT ADVISER



Alliances

Partnering to
enhance service.

Wheeler & Mote Alliances Independent decision making

Wheeler & Mote is owned by its principal. There is no cross ownership with any of our alliance partners. Decision making is independent and objective.

Trading is through Syndicated Capital, Inc. (SynCap), a broker/dealer headquartered in Santa Monica, CA. SynCap has stockholders in common with Pacific Income Advisors Inc., (PIA) a firm with \$4 billion in fixed income assets under management.

Alliances enable W&M access to the compliance resources and the Wall Street trading professionals of SynCap, the advanced analytical capabilities of PIA, and the custodian and record keeping services of Pershing, LLC, an affiliate of the Bank of New York Mellon Corporation.